

11/20/01

JCT60 U.S. PTO

Please type a plus sign (+) inside this box ☒

Approved for use through 10/31/2002. OMB 0851-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	01-654
First Inventor	TORRANCE ET AL.
Title	STATOR DAMPER ANTI-ROTATION ASSEMBLY
Express Mail Label No.	EL394334873US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and 4 duplicates for fee processing)
2. ☐ Applicant claims small entity status.
 See 37 CFR 1.27.
3. ☒ Specification (Total Pages 8)
 (Preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 2)
5. Oath or Declaration (Total Pages 7)
 a. ☒ Newly executed (original or copy)
 b. ☐ Copy from a prior application (37 CFR 1.63 (g))
 (for continuation/divisional with Box 16 completed)
 i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(a)(2) and 1.53(b).
6. ☐ Application Data Sheet. See 37 CFR 1.78

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
 10. ☐ 37 CFR 3.73(b) Statement of (when there is an assignee) ☐ Power of Attorney
 11. ☐ English Translation Document (if applicable)
 12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
 13. ☐ Preliminary Amendment
 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
 15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
 16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
 17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label _____ or ☒ Correspondence address below

Name	Barry L. Kelmacher			
	BACHMAN & LAPOINTE, P.C.			
Address	900 Chapel Street, Suite 1201			
City	New Haven	State	CT	Zip Code 06510-2802
Country	USA	Telephone	203-777-6628	Fax 203-865-0297
Name (Print/Type)	Barry L. Kelmacher	Registration No. (Attorney/Agent)	29, 999	
Signature	<i>Barry L. Kelmacher</i>	Date	11/20/01	

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on _____ (Date of Deposit)

Nicole Motzer

Name and Reg. No. of Attorney

Nicole Motzer

Signature

November 20, 2001

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10/002591

11/20/01

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**780.00**

Complete If Known

Application Number
 Filing Date
 First Named Inventor **TORRANCE ET AL.**
 Examiner Name
 Group Art Unit
 Attorney Docket No. **01-654**

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number **02-0184**
 Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee

to the aboveidentified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740
106 330	208 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)**740.00**

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims 15	.20** =	X	
Multiple Dependent Claims 2	.3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 260	204 140	Multiple dependent claim, if not paid over original patent
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**--**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
130 130	130 130	Non-English specification	
147 2,620	147 2,620	For filing a request for ex parte reexamination	
112 520*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 400	243 200	Design issue fee	
144 820	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(g)	
126 180	126 180	Submission of Information Disclosure Sheet	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(e))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(d))	
179 740	279 370	Request for Continued Examination (RCE)	
189 900	189 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$) 40.00			

SUBMITTED BY: **Barry L. Kelmacher** Registration No. **29,999** Telephone **203-777-6628**
 Name (Print/Type)
 Signature **[Signature]** Date **11/20/01**

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(Date of Deposit)
Nicole Motzer
 Name and Reg. No. of Attorney
[Signature]
 Signature
November 20, 2001
 Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : MARK A. TORRANCE ET AL. Docket No.: 01-654
Serial No. : Examiner :
Filed : Art Unit :
For : STATOR DAMPER ANTI-ROTATION
ASSEMBLY

900 Chapel Street
Suite 1201
New Haven, CT 06510-2802

TRANSMITTAL OF NEW PATENT APPLICATION

Hon. Commissioner of Patents and Trademarks
United States Patent and Trademark Office
Box Filing Receipt
Washington, D.C. 20231

Dear Sir:

Attached is a new patent application to be filed at
the U.S. Patent and Trademark Office.

The above-captioned patent application was previously
forwarded to the U.S. Patent and Trademark Office by
Express Mail on November 20, 2001 and was refused by the
USPS. See the attached Declaration of Nicole Motzer.

1002594-11201

Thus, a filing date of November 20, 2001 should be
accorded to this application.

Respectfully submitted,

MARK A. TORRANCE ET AL.

By Barry L. Kelmacher
Barry L. Kelmacher
Attorney for Applicants

Area Code: 203
Telephone: 777-6628 ext. 114
Telefax : 865-0297

Date: November 26, 2001

CERTIFICATE OF MAILING

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Washington, D.C. 20231 on November 26, 2001.

Nicole Motzer
Nicole Motzer

November 26, 2001
Date of Signature

10002594-112001

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

12/07/2001 HTECKLU1 00000095 10002594

01 FC:101

740.00 DP

PTO-1556

(5/87)